

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings Inc.,

Case No. 08-13555 (SCC)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Dah Sing Bank Limited  
Name of Transferee

D.A.H. Hambros (Channel Islands) Limited  
Name of Transferor

Name and Address where notices to transferee  
should be sent:  
Baker & McKenzie LLP, 452 Fifth Avenue,  
N.Y., N.Y. 10018, Attn. Ira Reid

Court Claim # (if known): 36941  
Amount of Claim: \$1,780,780.00  
Date Claim Filed: 10/7/09

Phone: 212-891-3976  
Last Four Digits of Acct #: \_\_\_\_\_

Phone: \_\_\_\_\_  
Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee payments  
should be sent (if different from above):

Dah Sing Bank Limited  
(Wire instructions on file)

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:   
Transferee/Transferor's Agent

Date: 18 February 2016

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

**EVIDENCE OF TRANSFER OF CLAIM**

TO: Clerk of the Court  
United States Bankruptcy Court  
Southern District of New York ("Bankruptcy Court")  
New York, New York

RE: Lehman Brothers Holdings, Inc. ("Debtor")  
Case No. 08-13555 (JMP)

Claim # 36941

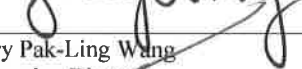
D.A.H. Hambros (Channel Islands) Limited ("Transferor"), for value received, the adequacy and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably transfer and assign to Dah Sing Bank, Limited, its successors and assigns ("Transferee"), all rights, title and interest in and to the claim of Transferor in the amount of U.S. \$1,780,780.00 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.


Transferor hereby waives any objection to the transfer of the Claim to the Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to the Transferee the Claim and recognizing the Transferee as the sole owner and holder of the Claim, and that all payments or distributions of money or property in respect of the Claim be delivered or made to the Transferee.

You are hereby directed to make all future payments and distributions to the Transferee, at Dah Sing Bank, Limited, Private Banking Department, Attn: Helen Leung / Jerome Mak, Room 3106-10, 31/F Dah Sing Financial Centre, 108 Gloucester Road, Wanchai, Hong Kong; and to give all notices and other communications, in respect of the Claim to Baker & McKenzie LLP, 452 Fifth Avenue, New York, NY 10018, Attn: Ira A. Reid, Tel. No. 212-891-3976, and email address at ira.reid@bakermckenzie.com.

IN WITNESS WHEREOF, the undersigned has duly executed this Assignment of Claim by its duly authorized representative dated February 18, 2016.


Dah Sing Bank, Limited, as Transferee

By:   
Gary Pak-Ling Wang  
Executive Director

By:   
Phoebe Mei-Chun Wong  
Executive Director

D.A.H. Hambros (Channel Islands) Limited, as  
Transferor

By:   
Ian Marsh  
Manager

By:   
Hon-Hing Wong (Derek Wong)  
Director

Form 210B (12/09)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings, Inc. Case No. 08-13555 (SCC)

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. 36941 (if known) was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on \_\_\_\_\_ (date).

D.A.H. Hambros (Channel Islands)  
Limited

Name of Alleged Transferor

Address of Alleged Transferor:  
c/o SG Hambros Bank (Channel Islands) Ltd.,  
P.O. Box 6, Hambro House,  
St. Julians Avenue,  
St. Peter Port Guernsey, GV 13AE

Dah Sing Bank Limited

Name of Transferee

Address of Transferee:  
36F Dah Sing Financial Centre,  
108 Gloucester Road,  
Wanchai, HK

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#### DEADLINE TO OBJECT TO TRANSFER

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The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty-one (21) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_

\_\_\_\_\_  
CLERK OF THE COURT